



106222018004996



SECURITIES AND EXCHANGE COMMISSION

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Company Information

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Company Name FIRST METRO PHILIPPINE EQUITY EXCHANGE TRADED FUND, INC.

Industry Classification Investment Company Operation

Company Type Stock Corporation

Document Information

Document ID 106222018004996

Document Type GENERAL INFORMATION SHEET

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Remarks

GENERAL INFORMATION SHEET (GIS)

FOR THE YEAR 2018

STOCK CORPORATION

GENERAL INSTRUCTIONS:

1. FOR USER CORPORATION: THIS GIS SHOULD BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS FROM THE DATE OF THE ANNUAL STOCKHOLDERS' MEETING. **DO NOT LEAVE ANY ITEM BLANK. WRITE "N.A." IF THE INFORMATION REQUIRED IS NOT APPLICABLE TO THE CORPORATION OR "NONE" IF THE INFORMATION IS NON-EXISTENT.** IF THE ANNUAL STOCKHOLDERS' MEETING IS HELD ON A DATE OTHER THAN THAT STATED IN THE BY-LAWS, THE GIS SHALL BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS FROM THE ACTUAL DATE OF THE ANNUAL STOCKHOLDERS' MEETING.
2. IF NO MEETING IS HELD, THE CORPORATION SHALL SUBMIT THE GIS NOT LATER THAN JANUARY 30 OF THE FOLLOWING YEAR. HOWEVER, SHOULD AN ANNUAL STOCKHOLDERS' MEETING BE HELD THEREAFTER, A NEW GIS SHALL BE SUBMITTED/FILED.
3. THIS GIS SHALL BE ACCOMPLISHED IN ENGLISH AND CERTIFIED AND SWORN TO BY THE CORPORATE SECRETARY OF THE CORPORATION.
4. THE SEC SHOULD BE TIMELY APPRISED OF RELEVANT CHANGES IN THE SUBMITTED INFORMATION AS THEY ARISE. FOR CHANGES RESULTING FROM ACTIONS THAT AROSE BETWEEN THE ANNUAL MEETINGS, THE CORPORATION SHALL SUBMIT ONLY THE AFFECTED PAGE OF THE GIS THAT RELATES TO THE NEW INFORMATION TOGETHER WITH A COVER LETTER SIGNED BY THE CORPORATE SECRETARY OF THE CORPORATION. THE PAGE OF THE GIS AND COVER LETTER SHALL BE SUBMITTED WITHIN SEVEN (7) DAYS AFTER SUCH CHANGE OCCURRED OR BECAME EFFECTIVE.
5. SUBMIT FOUR (4) COPIES OF THE GIS TO THE CENTRAL RECEIVING SECTION, GROUND FLOOR, SEC BLDG., EDSA, MANDALUYONG CITY. ALL COPIES SHALL UNIFORMLY BE ON A4 OR LETTER-SIZED PAPER WITH A STANDARD COVER PAGE. THE PAGES OF ALL COPIES SHALL USE ONLY ONE SIDE. CORPORATIONS SUBMITTING A COPY OF THEIR GIS ONLINE OR VIA INTERNET SHALL SUBMIT ONE (1) HARD COPY OF THE GIS, TOGETHER WITH A CERTIFICATION UNDER OATH BY ITS CORPORATE SECRETARY THAT THE COPY SUBMITTED ONLINE CONTAINS THE EXACT DATA IN THE HARD COPY.
6. **ONLY THE GIS ACCOMPLISHED IN ACCORDANCE WITH THESE INSTRUCTIONS SHALL BE CONSIDERED AS HAVING BEEN FILED.**
7. THIS GIS MAY BE USED AS EVIDENCE AGAINST THE CORPORATION AND ITS RESPONSIBLE DIRECTORS/OFFICERS FOR ANY VIOLATION OF EXISTING LAWS, RULES AND REGULATIONS

===== PLEASE PRINT LEGIBLY =====

| | | |
|--|--|--|
| CORPORATE NAME: FIRST METRO PHILIPPINE EQUITY EXCHANGE TRADED FUND, INC. | | DATE REGISTERED: 01/15/2013 |
| BUSINESS/TRADE NAME: FIRST METRO PHILIPPINE EQUITY EXCHANGE TRADED FUND, INC. | | FISCAL YEAR END: |
| SEC REGISTRATION NUMBER: CS201300728 | | DECEMBER 31 |
| DATE OF ANNUAL MEETING PER BY-LAWS: 2ND WEDNESDAY OF MAY | | CORPORATE TAX IDENTIFICATION NUMBER (TIN) 008-447-745-000 |
| ACTUAL DATE OF ANNUAL MEETING: MAY 23, 2018 | | WEBSITE/URL ADDRESS: http://www.firstmetroci.com.ph/ |
| COMPLETE PRINCIPAL OFFICE ADDRESS: 18/FLOOR , PS BANK CENTER, 777 PASEO DE ROXAS CORNER SEDEÑO ST., MAKATI CITY | | E-MAIL ADDRESS: N/A |
| COMPLETE BUSINESS ADDRESS: 18/FLOOR , PS BANK CENTER, 777 PASEO DE ROXAS CORNER SEDEÑO ST., MAKATI CITY | | FAX NUMBER: (632)8160467 |
| NAME OF EXTERNAL AUDITOR & ITS SIGNING PARTNER: SYCIP GORRES VELAYO AND CO./ RAY FRANCIS C. BALAGTAS | | SEC ACCREDITATION NUMBER (if applicable): 1510-A (GROUP A) |
| PRIMARY PURPOSE/ACTIVITY/INDUSTRY PRESENTLY ENGAGED IN: Engaged in the selling of its own shares and investing the proceeds therefrom. | | TELEPHONE NUMBER(S): (632)8912860 |
| INDUSTRY CLASSIFICATION: MUTUAL FUND | | GEOGRAPHICAL CODE: 137602000 |

===== INTERCOMPANY AFFILIATIONS =====

| PARENT COMPANY | SEC REGISTRATION NO. | ADDRESS |
|------------------------------------|----------------------|--|
| FIRST METRO INVESTMENT CORPORATION | 023269 | 45TH FLOOR GT TOWER INTERNATIONAL, AYALA AVENUE CORNER HV DELA COSTA STREET, MAKATI CITY |
| SUBSIDIARY/AFFILIATE | SEC REGISTRATION NO. | ADDRESS |
| | | |
| | | |
| | | |
| | | |
| | | |

NOTE: USE ADDITIONAL SHEET IF NECESSARY

GENERAL INFORMATION SHEET

STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

Corporate Name: FIRST METRO PHILIPPINE EQUITY EXCHANGE TRADED FUND, INC.

A. Is the Corporation a covered person under the Anti Money Laundering Act (AMLA), as amended? (Rep. Acts. 9160/9164/10167/10365) Yes No

Please check the appropriate box:

- 1.**
- a. Banks
 - b. Offshore Banking Units
 - c. Quasi-Banks
 - d. Trust Entities
 - e. Non-Stock Savings and Loan Associations
 - f. Pawnshops
 - g. Foreign Exchange Dealers
 - h. Money Changers
 - i. Remittance Agents
 - j. Electronic Money Issuers
 - k. Financial Institutions which Under Special Laws are subject to Bangko Sentral ng Pilipinas' (BSP) supervision and/or regulation, including their subsidiaries and affiliates.

- 2.**
- a. Insurance Companies
 - b. Insurance Agents
 - c. Insurance Brokers
 - d. Professional Reinsurers
 - e. Reinsurance Brokers
 - f. Holding Companies
 - g. Holding Company Systems
 - h. Pre-need Companies
 - i. Mutual Benefit Association
 - j. All Other Persons and entities supervised and/or regulated by the Insurance Commission (IC)

- 3.**
- a. Securities Dealers
 - b. Securities Brokers
 - c. Securities Salesman
 - d. Investment Houses
 - e. Investment Agents and Consultants
 - f. Trading Advisors
 - g. Other entities managing Securities or rendering similar services
 - h. Mutual Funds or Open-end Investment Companies
 - i. Close-end Investment Companies
 - j. Common Trust Funds or Issuers and other similar entities
 - k. Transfer Companies and other similar entities
 - l. Other entities administering or otherwise dealing in currency, commodities or financial derivatives based there on
 - m. Entities administering of otherwise dealing in valuable objects
 - n. Entities administering or otherwise dealing in cash Substitutes and other similar monetary instruments or property supervised and/or regulated by the Securities and Exchange Commission (SEC)

4. Jewelry dealers in precious metals, who, as a business, trade in precious metals

5. Jewelry dealers in precious stones, who, as a business, trade in precious stone

6. Company service providers which, as a business, provide any of the following services to third parties:

- a. acting as a formation agent of juridical persons
- b. acting as (or arranging for another person to act as) a director or corporate secretary of a company, a partner of a partnership, or a similar position in relation to other juridical persons
- c. providing a registered office, business address or accommodation, correspondence or administrative address for a company, a partnership or any other legal person or arrangement

d. acting as (or arranging for another person to act as) a nominee shareholder for another person

7. Persons who provide any of the following services:

- a. managing of client money, securities or other assets
- b. management of bank, savings or securities accounts
- c. organization of contributions for the creation, operation or management of companies
- d. creation, operation or management of juridical persons or arrangements, and buying and selling business entities

8. None of the above

Describe nature of business:

B. Has the Corporation complied with the requirements on Customer Due Diligence (CDD) or Know Your Customer (KYC), record-keeping, and submission of reports under the AMLA, as amended, since the last filing of its GIS?

Yes No

GENERAL INFORMATION SHEET
STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

CORPORATE NAME: FIRST METRO PHILIPPINE EQUITY EXCHANGE TRADED FUND, INC.

CAPITAL STRUCTURE

AUTHORIZED CAPITAL STOCK

| | TYPE OF SHARES * | NUMBER OF SHARES | PAR/STATED VALUE | AMOUNT (PhP) (No. of shares X Par/Stated Value) |
|--------------|------------------|-------------------|------------------|--|
| | COMMON | 30,000,000 | 100.00 | 3,000,000,000.00 |
| TOTAL | | 30,000,000 | TOTAL P | 3,000,000,000.00 |

SUBSCRIBED CAPITAL

| FILIPINO | NO. OF STOCK-HOLDERS | TYPE OF SHARES * | NUMBER OF SHARES | NUMBER OF SHARES IN THE HANDS OF THE PUBLIC ** | PAR/STATED VALUE | AMOUNT (PhP) | % OF OWNERSHIP |
|--------------|----------------------|------------------|-------------------|--|------------------|-------------------------|----------------|
| | 17 | COMMON | 13,254,356 | 13,254,349 | 100.00 | 1,325,435,600.00 | 97.88% |
| TOTAL | | | 13,254,356 | TOTAL | TOTAL P | 1,325,435,600.00 | |

| FOREIGN (INDICATE BY NATIONALITY) | NO. OF STOCK-HOLDERS | TYPE OF SHARES * | NUMBER OF SHARES | NUMBER OF SHARES IN THE HANDS OF THE PUBLIC ** | PAR/STATED VALUE | AMOUNT (PhP) | % OF OWNERSHIP |
|---------------------------------------|----------------------|------------------|------------------|--|------------------|----------------------|----------------|
| Chinese | 3 | COMMON | 287,644 | 287,644 | 100.00 | 28,764,400.00 | 2.12% |
| Percentage of Foreign Equity : | | | TOTAL | TOTAL | TOTAL P | 28,764,400.00 | |

TOTAL SUBSCRIBED P

1,354,200,000.00

PAID-UP CAPITAL

| FILIPINO | NO. OF STOCK-HOLDERS | TYPE OF SHARES * | NUMBER OF SHARES | PAR/STATED VALUE | AMOUNT (PhP) | % OF OWNERSHIP | |
|--------------|----------------------|------------------|-------------------|------------------|-------------------------|------------------|--------|
| | 17 | COMMON | 13,254,356 | 13,254,349 | 100.00 | 1,325,435,600.00 | 97.88% |
| TOTAL | | | 13,254,356 | TOTAL P | 1,325,435,600.00 | | |

| FOREIGN (INDICATE BY NATIONALITY) | NO. OF STOCK-HOLDERS | TYPE OF SHARES * | NUMBER OF SHARES | PAR/STATED VALUE | AMOUNT (PhP) | % OF OWNERSHIP | |
|-----------------------------------|----------------------|------------------|------------------|------------------|----------------------|----------------|-------|
| Chinese | 3 | COMMON | 287,644 | 287,644 | 100.00 | 28,764,400.00 | 2.12% |
| 2.12 % | | | TOTAL | TOTAL P | 28,764,400.00 | | |

TOTAL PAID-UP P

1,354,200,000.00

NOTE: USE ADDITIONAL SHEET IF NECESSARY

* Common, Preferred or other classification

** Other than Directors, Officers, Shareholders owning 10% of outstanding shares.

GENERAL INFORMATION SHEET

STOCK CORPORATION

PLEASE PRINT LEGIBLY

CORPORATE NAME: **FIRST METRO PHILIPPINE EQUITY EXCHANGE TRADED FUND, INC.**

DIRECTORS / OFFICERS

| NAME AND NATIONALITY | INC'R | BOARD | SEX | STOCK HOLDER | OFFICER | EXEC. COMM. |
|--|-------|-------|-----|--------------|-------------------------------|----------------|
| 1. Eduardo R. Carreon Filipino | N | C | M | Y | Chairman | N and C/M |
| 2. Eduardo S. Mendiola Filipino | N | M | M | Y | President | N and C/C |
| 3. Romualdo A. Ong Filipino | Y | M | M | Y | Independent Director | N and C/M; A/M |
| 4. Bernardo M. Villegas Filipino | Y | M | M | Y | Independent Director | A/C |
| 5. Winston L. Peckson Filipino | N | M | M | Y | Director | A/M |
| 6. Abelardo V. Cortez Filipino | N | M | M | Y | Director | N/A |
| 7. Michael G. Say Filipino | N | M | M | Y | Director | N/A |
| 8. Marie Arabella D. Veron Filipino | N | N | F | N | Treasurer | N/A |
| 9. Mauro B. Placente Filipino | N | N | M | N | Asst. Treasurer | N/A |
| 10. Jonathan T. Tabac Filipino | N | N | M | N | Compliance Officer | N/A |
| 11. Melissa B. Reyes Filipino | N | N | F | N | Corporate Secretary | N/A |
| 12. Edwin B. Valeroso Filipino | N | N | M | N | Corporate Information Officer | N/A |
| 13. | | | | | | |
| 14. | | | | | | |
| 15. | | | | | | |

INSTRUCTION:

FOR SEX COLUMN, PUT "F" FOR FEMALE, "M" FOR MALE.

FOR BOARD COLUMN, PUT "C" FOR CHAIRMAN, "M" FOR MEMBER, "I" FOR INDEPENDENT DIRECTOR.

FOR INC'R COLUMN, PUT "Y" IF AN INCORPORATOR, "N" IF NOT.

FOR STOCKHOLDER COLUMN, PUT "Y" IF A STOCKHOLDER, "N" IF NOT.

FOR OFFICER COLUMN, INDICATE PARTICULAR POSITION IF AN OFFICER, FROM VP UP INCLUDING THE POSITION OF THE TREASURER, SECRETARY, COMPLIANCE OFFICER AND/OR ASSOCIATED PERSON.

FOR EXECUTIVE COMMITTEE, INDICATE "C" IF MEMBER OF THE COMPENSATION COMMITTEE; "A" FOR AUDIT COMMITTEE; "N" FOR NOMINATION AND ELECTION COMMITTEE. ADDITIONALLY WRITE "C" AFTER SLASH IF CHAIRMAN AND "M" IF MEMBER.

**GENERAL INFORMATION SHEET
STOCK CORPORATION**

===== **PLEASE PRINT LEGIBLY** =====

| | | | |
|---|--|--|-----------|
| CORPORATE NAME: | FIRST METRO PHILIPPINE EQUITY EXCHANGE TRADED FUND, INC. | | |
| TOTAL NUMBER OF STOCKHOLDERS: | 20 | NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH: | 10 |
| TOTAL ASSETS BASED ON LATEST AUDITED FINANCIAL STATEMENTS: | 1,420,825,801.00 | | |

STOCKHOLDER'S INFORMATION

| NAME AND NATIONALITY | SHARES SUBSCRIBED | | | | AMOUNT PAID (PhP) |
|--|-------------------|-------------------|-------------------------|----------------|-------------------------|
| | TYPE | NUMBER | AMOUNT (PhP) | % OF OWNERSHIP | |
| 1. PCD Nominee Corporation Filipino | Common | 13,434,380 | 1,343,438,000.00 | 99.21% | 1,343,438,000.00 |
| | | | | | |
| | TOTAL | 13,434,380 | 1,343,438,000.00 | | |
| 2. Lin Wang Chinese | Common | 38,500 | 3,850,000.00 | 0.28% | 3,850,000.00 |
| | | | | | |
| | TOTAL | 38,500 | 3,850,000.00 | | |
| 3. Zhijiang Pang Chinese | Common | 37,290 | 3,729,000.00 | 0.28% | 3,729,000.00 |
| | | | | | |
| | TOTAL | 37,290 | 3,729,000.00 | | |
| 4. Wenjun Zhao Chinese | Common | 29,150 | 2,915,000.00 | 0.22% | 2,915,000.00 |
| | | | | | |
| | TOTAL | 29,150 | 2,915,000.00 | | |
| 5. David L. Kho Filipino | Common | 1,100 | 110,000.00 | 0.01% | 110,000.00 |
| | | | | | |
| | TOTAL | 1,100 | 110,000.00 | | |
| 6. Jennifer Margaret T. Manalo Filipino | Common | 1,100 | 110,000.00 | 0.01% | 110,000.00 |
| | | | | | |
| | TOTAL | 1,100 | 110,000.00 | | |
| 7. Joselito C. Herrera Filipino | Common | 110 | 11,000.00 | 0.00% | 11,000.00 |
| | | | | | |
| | TOTAL | 110 | 11,000.00 | | |
| TOTAL AMOUNT OF SUBSCRIBED CAPITAL | | | | 100.00% | 1,354,163,000.00 |
| TOTAL AMOUNT OF PAID-UP CAPITAL | | | | | |

INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS

Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.

GENERAL INFORMATION SHEET
STOCK CORPORATION

===== **PLEASE PRINT LEGIBLY** =====

| CORPORATE NAME: | | FIRST METRO PHILIPPINE EQUITY EXCHANGE TRADED FUND, INC. | | | |
|--|-------------------|--|------------------|---|-------------------|
| TOTAL NUMBER OF STOCKHOLDERS: | | 20 | | NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH: 10 | |
| TOTAL ASSETS BASED ON LATEST AUDITED FINANCIAL STATEMENTS: | | 1,420,825,801.00 | | | |
| STOCKHOLDER'S INFORMATION | | | | | |
| NAME AND NATIONALITY | SHARES SUBSCRIBED | | | | AMOUNT PAID (PhP) |
| | TYPE | NUMBER | AMOUNT (PhP) | % OF OWNER-SHIP | |
| 8. Nieves Q. Lim Or Alexander D. Lim Filipino | Common | 110 | 11,000.00 | 0.00% | 11,000.00 |
| | | | | | |
| | TOTAL | 110 | 11,000.00 | | |
| 9. Myra P. Villanueva Filipino | Common | 110 | 11,000.00 | 0.00% | 11,000.00 |
| | | | | | |
| | TOTAL | 110 | 11,000.00 | | |
| 10. Marietta V. Cabreza Filipino | Common | 44 | 4,400.00 | 0.00% | 4,400.00 |
| | | | | | |
| | TOTAL | 44 | 4,400.00 | | |
| 11. Milagros P. Villanueva Filipino | Common | 44 | 4,400.00 | 0.00% | 4,400.00 |
| | | | | | |
| | TOTAL | 44 | 4,400.00 | | |
| 12. Myrna P. Villanueva Filipino | Common | 44 | 4,400.00 | 0.00% | 4,400.00 |
| | | | | | |
| | TOTAL | 44 | 4,400.00 | | |
| 13. Ismael Eufemio S. Lucot III Filipino | Common | 11 | 1,100.00 | 0.00% | 1,100.00 |
| | | | | | |
| | TOTAL | 11 | 1,100.00 | | |
| 14. Eduardo S. Mendiola Filipino | Common | 1 | 100.00 | 0.00% | 100.00 |
| | | | | | |
| | TOTAL | 1 | 100.00 | | |
| TOTAL AMOUNT OF SUBSCRIBED CAPITAL | | | | 0.00% | 36,400.00 |
| TOTAL AMOUNT OF PAID-UP CAPITAL | | | | | |
| INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS | | | | | |
| Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary. | | | | | |

**GENERAL INFORMATION SHEET
STOCK CORPORATION**

===== PLEASE PRINT LEGIBLY =====

| CORPORATE NAME: | | FIRST METRO PHILIPPINE EQUITY EXCHANGE TRADED FUND, INC. | | | |
|--|-------------------|--|--|-----------------|-------------------|
| TOTAL NUMBER OF STOCKHOLDERS: | | 20 | NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH: | | 10 |
| TOTAL ASSETS BASED ON LATEST AUDITED FINANCIAL STATEMENTS: | | 1,420,825,801.00 | | | |
| STOCKHOLDER'S INFORMATION | | | | | |
| NAME AND NATIONALITY | SHARES SUBSCRIBED | | | | AMOUNT PAID (PhP) |
| | TYPE | NUMBER | AMOUNT (PhP) | % OF OWNER-SHIP | |
| 15. Eduardo R. Carreon Filipino | Common | 1 | 100.00 | 0.00% | 100.00 |
| | | | | | |
| | TOTAL | 1 | 100.00 | | |
| 16. Amb. Romualdo A. Ong Filipino | Common | 1 | 100.00 | 0.00% | 100.00 |
| | | | | | |
| | TOTAL | 1 | 100.00 | | |
| 17. Bernardo M. Villegas Filipino | Common | 1 | 100.00 | 0.00% | 100.00 |
| | | | | | |
| | TOTAL | 1 | 100.00 | | |
| 18. Winston L. Peckson Filipino | Common | 1 | 100.00 | 0.00% | 100.00 |
| | | | | | |
| | TOTAL | 1 | 100.00 | | |
| 19. Michael G. Say Filipino | Common | 1 | 100.00 | 0.00% | 100.00 |
| | | | | | |
| | TOTAL | 1 | 100.00 | | |
| 20. Abelardo V. Cortez Filipino | Common | 1 | 100.00 | 0.00% | 100.00 |
| | | | | | |
| | TOTAL | 1 | 100.00 | | |
| 21. OTHERS (Indicate the number of the remaining stockholders) | | | | | |
| | | | | | |
| | TOTAL | | | | |
| TOTAL AMOUNT OF SUBSCRIBED CAPITAL | | | | 0.00% | 600.00 |
| TOTAL AMOUNT OF PAID-UP CAPITAL | | | | | |
| INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS | | | | | |
| Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary. | | | | | |

GENERAL INFORMATION SHEET
STOCK CORPORATION

| ===== PLEASE PRINT LEGIBLY ===== | | | |
|--|---------------------------------|---|----------------------------------|
| CORPORATE NAME: FIRST METRO PHILIPPINE EQUITY EXCHANGE TRADED FUND, INC. | | | |
| 1. INVESTMENT OF CORPORATE FUNDS IN ANOTHER CORPORATION | AMOUNT (PhP) | DATE OF BOARD RESOLUTION | |
| 1.1 STOCKS | 1,407,701,912.00 | N/A | |
| 1.2 BONDS/COMMERCIAL PAPER (Issued by Private Corporations) | N/A | N/A | |
| 1.3 LOANS/ CREDITS/ ADVANCES | N/A | N/A | |
| 1.4 GOVERNMENT TREASURY BILLS | N/A | N/A | |
| 1.5 OTHERS | N/A | N/A | |
| 2. INVESTMENT OF CORPORATE FUNDS IN ACTIVITIES UNDER ITS SECONDARY PURPOSES (PLEASE SPECIFY:) | DATE OF BOARD RESOLUTION | DATE OF STOCKHOLDERS RATIFICATION | |
| N/A | N/A | N/A | |
| 3. TREASURY SHARES | NO. OF SHARES | % AS TO THE TOTAL NO. OF SHARES ISSUED | |
| N/A | N/A | | |
| 4. UNRESTRICTED/UNAPPROPRIATED RETAINED EARNINGS AS OF END OF LAST FISCAL YEAR PhP233,201,464 | | | |
| 5. DIVIDENDS DECLARED DURING THE IMMEDIATELY PRECEDING YEAR: | | | |
| TYPE OF DIVIDEND | AMOUNT (PhP) | DATE DECLARED | |
| 5.1 CASH | NONE | NONE | |
| 5.2 STOCK | 93,200,000.00 | March 21, 2017 | |
| 5.3 PROPERTY | NONE | NONE | |
| TOTAL | P | | |
| 6. ADDITIONAL SHARES ISSUED DURING THE PERIOD: | | | |
| DATE | NO. OF SHARES | AMOUNT | |
| VARIOUS | 330,200,000.00 | 94,612,146.00 | |
| | | | |
| | | | |
| SECONDARY LICENSE/REGISTRATION WITH SEC AND OTHER GOV'T AGENCY: | | | |
| NAME OF AGENCY: | SEC | B S P | I C |
| TYPE OF LICENSE/REGN. | MUTUAL FUND | N/A | N/A |
| DATE ISSUED: | November 27, 2013 | N/A | N/A |
| DATE STARTED OPERATIONS: | December 2, 2013 | N/A | N/A |
| TOTAL ANNUAL COMPENSATION OF DIRECTORS DURING THE PRECEDING FISCAL YEAR (in PhP) | TOTAL NO. OF OFFICERS | TOTAL NO. OF RANK & FILE EMPLOYEES | TOTAL MANPOWER COMPLEMENT |
| 301,500.00 | 7 | 0 | 7 |

NOTE: USE ADDITIONAL SHEET IF NECESSARY

I, MELISSA B. REYES CORPORATE SECRETARY OF THE ABOVE-MENTIONED
(Name) (Position)

DECLARE UNDER THE PENALTY OF PERJURY, THAT ALL MATTERS SET FORTH IN THIS GENERAL INFORMATION SHEET WHICH CONSISTS OF ELEVEN (11) PAGES HAVE BEEN MADE IN GOOD FAITH, DULY VERIFIED BY ME AND TO THE BEST OF MY KNOWLEDGE AND BELIEF, ARE TRUE AND CORRECT.

I HEREBY CERTIFY THAT THE PERSONS INDICATED AS MEMBERS OF THE BOARD OF DIRECTORS AND OFFICERS OF THE CORPORATION HAD CONSENTED IN WRITING TO BE NOMINATED AND ELECTED.

I UNDERSTAND THAT THE FAILURE OF THE CORPORATION TO FILE THIS GIS FOR FIVE (5) CONSECUTIVE YEARS SHALL BE CONSTRUED AS NON-OPERATION OF THE CORPORATION AND A GROUND FOR THE REVOCATION OF THE CORPORATION'S CERTIFICATE OF INCORPORATION. IN THIS EVENTUALITY, THE CORPORATION HEREBY WAIVES ITS RIGHT TO A HEARING FOR THE SAID REVOCATION.


DONE THIS _____ DAY OF _____, 20____ IN _____.


MELISSA B. REYES
(SIGNATURE)

SUBSCRIBED AND SWORN TO BEFORE ME IN _____ CITY/PROVINCE, PHILIPPINES ON

JUN 21 2018 AFFIANT PERSONALLY APPEARED BEFORE ME AND EXHIBITED TO ME HIS/HER COMPETENT

EVIDENCE OF IDENTITY CRN - 0033-633927-6 ISSUED AT _____


ATTY. MARGARETA ANGELA S. ROBLES
Notary Public for Makati City
Until 31 December 2018
Appointment No. M264 (2017-2018)
Roll No. E90E2
IBP Lifetime Member No. 012499
PTR No. 253628 / 01.00.2217 / Pasig City
MOLC Certificate No. V - 001062 / 08.28.15
43rd St. Tower International Plaza Ave.
Center of Makati, Makati City

NOTARY PUBLIC FOR

Notarial Commission No. _____

Commission expires on December 31, _____

Roll of Attorney Number _____

PTR No. _____

IBP No. _____

Office Address: _____

DOC. NO.: 342
PAGE NO.: 70
BOOK NO.: 11
SERIES OF.: 1811

GENERAL INFORMATION SHEET

STOCK CORPORATION

NOT FOR UPLOADING

===== PLEASE PRINT LEGIBLY =====

CORPORATE NAME:**LIST OF STOCKHOLDERS ,DIRECTORS AND OFFICERS****TAX IDENTIFICATION NO. (TIN) INFORMATION**

| NAME AND CURRENT RESIDENTIAL ADDRESS | NATIONALITY | POSITION | TIN |
|---|--------------------|-------------------------------|-------------|
| 1. Eduardo R. Carreon 149 Lauan St., Ayala Alabang Village, Muntinlupa City | Filipino | C / S | 160-247-790 |
| 2. Eduardo S. Mendiola # 36 Adriatico St. Phase 2C Bahayang Pag-asa, Molino Bacoor Cavite | Filipino | M / President / S | 129-884-568 |
| 3. Romualdo A. Ong #5 Beatrice St., Versailles Village Daanghari, Las Piñas City | Filipino | M / I / S | 135-867-828 |
| 4. Bernardo M. Villegas #6 Tyler St., North Greenhills, San Juan City | Filipino | M / I / S | 111-622-998 |
| 5. Winston L. Peckson 18 Oxford St., Hillsborough Alabang, Muntinlupa City | Filipino | M / S | 191-609-676 |
| 6. Abelardo V. Cortez 791 Pantaleon St., Mandaluyong City 1550 | Filipino | M / I / S | 158-209-919 |
| 7. Michael G. Say Unit 27Q, Burgundy Corporate Tower, Sen. Gil PuyatAve., Makati City | Filipino | M / S | 140-421-505 |
| 8. Marie Arabella D. Veron Lot 4, Block 18, Mt. Blanc Loop, The Richdale, Sumulong Hi-way, Antipolo City | Filipino | Treasurer | 108-156-679 |
| 9. Mauro B. Placente B4, L30 Teakwood St., Cottonwoods Heights Subd., Antipolo City | Filipino | Assistant Treasurer | 123-192-044 |
| 10. Jonathan T. Tabac 55 Mahogany St., Town and Country Exec. Vill., Marcos Highway, Antipolo City | Filipino | Compliance Officer | 111-299-468 |
| 11. Melissa B. Reyes 116 Pajo St., Project 2, Quezon City | Filipino | Corporate Secretary | 702-504-000 |
| 12. Edwin B. Valeroso 5C Kingswood Tower Vito Cruz Ave., Pasong Tamo Ave., Makati City | Filipino | Corporate Information Officer | 134-188-958 |
| 13. PCD Nominee Corporation The Enterprise Center Ayala Avenue, Makati City | Filipino | S | 004-774-849 |
| 14. Lin Wang 33/F Suite 3306 Arya Residences, Mckinley Parkway BGC, Taguig City | Chinese | S | 455-411-779 |
| 15. Zhijiang Pang Unit - 16QP One Central Condo Gil Puyat Ave. Makati City | Chinese | S | 500-316-925 |

INSTRUCTION:

FOR POSITION COLUMN, PUT THE FOLLOWING IF APPLICABLE:

"C" for Chairman, "M" for Member, "I" for Independent Director; and/or

Indicate the Particular Position, if Officer (e.g. President, Treasurer, Corporate Secretary, etc.); and/or

"S" for Stockholder.

NOTE: USE ADDITIONAL SHEET IF NECESSARY

GENERAL INFORMATION SHEET

STOCK CORPORATION

NOT FOR UPLOADING

===== PLEASE PRINT LEGIBLY =====

CORPORATE NAME:**LIST OF STOCKHOLDERS ,DIRECTORS AND OFFICERS****TAX IDENTIFICATION NO. (TIN) INFORMATION**

| NAME AND CURRENT RESIDENTIAL ADDRESS | NATIONALITY | POSITION | TIN |
|--|-----------------------|----------|-------------|
| 16. Wenjun Zhao Ph12 Rawai Tower, Royal Palm Residences Ususan, Taguig City 1634 | Filipino | S | 458-601-052 |
| 17. David L. Kho 2/F William Bldg., 35 Quezon Avenue, Quezon City | Filipino | S | 183-459-845 |
| 18. Jennifer Margaret T. Manalo 411 Ambuklao Street, Ayala Alabang Village Muntinlupa City | Filipino | S | 158-984-392 |
| 19. Joselito C. Herrera L10 B3 La Brea Doña Carmen Heights Commonwealth, Quezon City | Filipino | S | 162-895-064 |
| 20. Nieves Q. Lim Or Alexander D. Lim 003 Givenchy St., Phil-Acres Village Bacoor, Cavite | Filipino | S | 105-414-537 |
| 21. Myra P. Villanueva PLDT OD Center 8F Ramon Cojuangco Bldg Makati Avenue, Makati City | Filipino | S | 105-469-283 |
| 22. Marietta V. Cabreza Blk 4 Lot 15 Santiago Subdivision, Anabu 2E Imus City, Cavite | Filipino | S | 105-468-715 |
| 23. Milagros P. Villanueva 244-B Vergel Corner I Reyes Sts. Pasay City | Filipino | S | 119-081-134 |
| 24. Myrna P. Villanueva 244-B Vergel Corner I Reyes Sts. Pasay City | Filipino | S | 101-884-887 |
| 25. Ismael Eufemio S. Lucot III 466 Brgy San Antonio 466 Brgy San Antonio | Filipino | S | 217-734-173 |
| 26. | ***NOTHING FOLLOWS*** | | |
| 27. | | | |
| 28. | | | |
| 29. | | | |
| 30. | | | |

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